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FORM PTO - 1449 (Modified) List of Patents and Publications For Applicant's Information Disclosure Statement

(Use Several Sheets if Necessary)

ATTORNEY DOCKET NO: 6051-009

APPLICANT: Bailey, et al **FILING DATE: 11/24/03** SERIAL NO: 10/720,932

**GROUP: 3738** 

### U.S. PATENT DOCUMENTS

| Examiner's<br>initials | Ref.<br>Desig. | Document<br>Number | Date     | Name              | Class S | Subclass |
|------------------------|----------------|--------------------|----------|-------------------|---------|----------|
| <del>((</del>          | AA1            | 5,370,685          | 12/6/94  | Stevens           | 623/2   | 606/45   |
| 1                      | ABI            | 5,397,351          | 3/14/95  | Pavenik, et al.   | 623/11  | 623/2    |
| ì                      | ACI            | 5.545.214          | 8/13/96  | Stevens           | 623/2   | 606/191  |
| i                      | ADI            | 5.855.597          | 1/5/99   | Jayaraman         | 623/1   |          |
|                        | AEl            | 5,855,601          | 1/5/99   | Bessler, et al.   | 623/2   | 623/900  |
| 1                      | AFI            | 5,957,949          | 9/28/99  | Leonhardt, et al. | 606/194 | 606/128  |
| ł                      | AGI            | 4,222,126          | 9/16/80  | Boretos, et al.   | 3/1.5   | 137/849  |
| 1.                     | AH1            | 4,994,077          | 2/19/91  | Dobben            | 623/2   | 606/191  |
| 1/                     | AI1            | 5,163,953          | 11/17/92 | Vince             | 623/2   | 623/900  |
| V                      | AJ1            | 5,322,402          | 7/26/94  | Teitelbaum        | 623/2   | 623/00   |
| 13                     | AK1            | 5,370,85           | 12/6/94  | Stevens           | 623/2   | 606/45   |

### FOREIGN PATENT DOCUMENTS.

|   | Ref.<br>Desig.                  | Document<br>Number     | Date               | Country    | Class Subclass         | . ) |
|---|---------------------------------|------------------------|--------------------|------------|------------------------|-----|
| R | ALI<br>AMI<br>ANI<br>AOI<br>API | 0 850 607<br>0 808 614 | 7/1/98<br>11/11/98 | EPO<br>EPO | A61F 2/24<br>A61F 2/24 |     |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AR1 "MASA Heart Valves", http://www.heart-surgeons.com/valvedesign.htm, pp. 1-3

AS1 "Aortic Valve Replacement", STS Patient Information, http://www.sts.org/doc/3620, pp. 1-5

http://www.ctsnet.org/doc.3358, pp. 1-4

ATI "Minimally Invasive Aortic Valve Surgery" CTSNET Experts' Techniques,

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| Examiner's<br>Initials | Ref.<br>Desig. | Document<br>Number | Date     | Name             | Class S | ubclass |   |
|------------------------|----------------|--------------------|----------|------------------|---------|---------|---|
| ARS                    | AA2            | 5,713,953          | 2/3/98   | Vallana, et al.  | 623/2   |         | *************************************** |
| ′ 🏲                    | AB2            | 5,824,063          | 10/20/98 | Cox              | 623/2   | 623/1   |   |
| 1                      | AC2            | 5,824,064          | 10/20/98 | Taheri           | 623/2   | 623/1   |   |
| - t                    | AD2            | 5,840,081          | 11/24/98 | Andersen, et al. | 623/2   | 606/108 |   |
|                        | AE2            | 5,925,063          | 7/20/99  | Khosravi         | 606/200 | 606/213 |   |
| 1                      | AF2            | 5.954.766          | 9/21/99  | Zadno-Azizi      | 623/2   | 623/1   |   |
|                        | AG2            | 5,895,419          | 4/20/99  | Tweden, et al.   | 623/1   | 623/900 |   |
| l l                    | AH2            | 5,108,420          | 4/28/92  | Marks            | 606/213 | 606/78  |   |
| 1,                     | AI2            | 5,334,217          | 8/2/94   | Das              | 606/213 | 606/151 |   |
| U/                     | AJ2            | 5,725,552          | 3/10/98  | Kotula, et al.   | 606/213 | 606/151 | •                                       |
| AS                     | AK2            | 5,741,297          | 4/21/98  | Simon            | 606/213 | 606/215 | •                                       |

### FOREIGN PATENT DOCUMENTS

| Ref.<br>Desig. | Document<br>Number                     | Date | Country | Class | Subclass | 7 |
|----------------|--|------|---------|-------|----------|---|
| <br>AL2        | ······································ |      |         |       |          |   |
| AM2            |  |      |         |       |          |   |
| AN2            |  |      |         |       |          |   |
| AO2            |  | •    | •       |       | •        |   |
| AP2            |  |      | •       |       |          |   |

# OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AR2 "Heartport Annouces Launch of Heartport InSite AVR System for Less Invasive Aortic Valve Replacement" Heartport, Inc. Company Press Release, pp 1-2

AS2 "ATS Medical Inc. Annual Report", pp. 1-7

AT2 "St. Jude Medical Heart Valve Division Expanding the Focus", www.sum.com/stjde/world.htm/expand.htm

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10/13/05

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|--|---|--|--|---|--------------------------------------|------------------------------|--|
| ************************************** | AA3<br>AB3<br>AC3<br>AD3<br>AE3<br>AF3<br>AG3<br>AH3<br>AI3<br>AJ3<br>AK3 | 5,846,261<br>5,919,224<br>5,964,782<br>5,957,573 | 12/8/98<br>7/6/99<br>10/12/99<br>12/7/99 | Konila<br>Thompson, et al.<br>Lafontaine, et al.<br>Quijano, et al. | 606/213<br>623/1<br>606/213<br>623/2 | 623/11<br>606/139<br>623/900 |  |

### FOREIGN PATENT DOCUMENTS

| - | Ref.<br>Desig. | Document<br>Number | Date     | Country | Class | Subclass | 7 |
|---|----------------|--------------------|----------|---------|-------|----------|---|
|   | AL3            |                    | <u> </u> |         |       |          |   |
|   | AM3            |                    |          | •       |       |          |   |
|   | AN3            |                    |          |         |       |          |   |
|   | AO3            |                    |          |         |       |          |   |
|   | AP3            |                    |          |         |       |          |   |

# OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AR3 "St. Jude Medical Heart Valve Division The Mechical Heart Valve Evolution", www.sjm.com/stjude/world/htm.evolut.htm

AS3 "Heartport Announces Launch of Heartport InSite AVR System for Less Invasive Aortic Valve Replacement", Heartport, Inc. What's New Release, www.pddnet.com/Pddnews/08oct9903.htm, pp. 1-2

AT3

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